

Date: 14 December 2021



District Council

Town Hall, Penrith, Cumbria CA11 7QF

Tel: 01768 817817

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Dear Sir/Madam

Accounts and Governance Committee Agenda - 22 December 2021

Notice is hereby given that a meeting of the Accounts and Governance Committee will be held at 6.45 pm on Wednesday, 22 December 2021 at The Council Chamber, Town Hall, Penrith.

Please note: if you would like to attend this meeting, we request that you contact Democratic Services to let us know. Contact details are below*. We would also request that wherever possible, those attending continue to wear face coverings and practice hand sanitising measures. This is due to ongoing concerns in relation to the Covid pandemic.

1 Apologies for Absence

2 Minutes of Previous Meeting

To sign the minutes AGc/21/11/21 to AGc/32/11/21 of the meeting of this Committee held on 18 November 2021 as a correct record of those proceedings (copies previously circulated).

3 Declarations of Interest

To receive declarations of the existence and nature of any private interests, both disclosable pecuniary and any other registrable interests, in any matter to be considered or being considered.

4 Questions and Representations from Members of the Public

To receive questions and representations from the public under Rules 10 and 11 of the Council Rules of Procedure in Part 3 of the Constitution.

5 Questions and Representations from Members

To receive questions and representations from Members under Rules 11 and 12 of the Council Rules of Procedure in Part 3 of the Constitution.

6 2019/20 Annual Audit Letter *(Pages 5 - 24)*

To consider the Interim Director of Resources' report F48/21 (attached), which provides information on the External Auditors' (Grant Thornton) Annual Audit letter for their audit of the accounts for 2019/20.

RECOMMENDED that the report and Plan be noted.

7 2020/21 External Audit Plan *(Pages 25 - 54)*

To consider the Interim Director of Resources' report F47/21 (attached), which provides information on the External Auditors' (Grant Thornton) Audit Plan for their audit of the accounts.

RECOMMENDED that the report and Plan be noted.

8 Internal Audit: Summary Progress Report for 2021-22 *(Pages 55 - 110)*

To consider the Assistant Director Finance & HR's report F44/21 (attached), which informs the Committee about the Council's Internal Audit Annual Summary Progress Report for 2020-2021.

RECOMMENDED that the Committee notes this report, and the Summary Progress Report 2021/22 from TIAA attached at Appendices 1-3.

9 Treasury Management Six-Month Review

To consider the report of the Interim Director of Resources.

[Note: this report will be sent 'to follow'.]

10 Anti-Fraud Plan 2020/21 *(Pages 111 - 132)*

To consider the Assistant Director Finance & HR's report F45/21 (attached), in respect of the proposed updated Anti-Fraud, Theft, Bribery and Corruption Strategy and Response Plan.

RECOMMENDED the updated Anti-Fraud, Theft, Bribery and Corruption Strategy and Response Plan be approved

11 Local Code of Governance *(Pages 133 - 182)*

To consider the Assistant Director Finance & HR's report F46/21 (attached), which sets out the Council's Local Code of Governance 2021-22. Members are asked to confirm that the Local Code of Governance fairly reflects the Council's corporate governance arrangements.

RECOMMENDED that:

1. the review of the Local Code of Governance 2020-21 be noted;
2. the Committee proposes any further amendments and endorses the Local Code

- of Governance Action Plan for 2021-22; and
3. the Local Code of Governance for 2021-22 be approved.

12 Provisional Outturn 2020/21 *(Pages 183 - 190)*

To consider the Interim Director of Resources' report F49/21 (attached), which summarises the Council's Revenue and Capital performance for the financial year 2020/21.

RECOMMENDED that:

1. the Revenue Outturn position for 2020/21 as set out in Appendix 1 be noted;
2. the Capital Outturn position for 2020/21 as set out in Appendix 2 be noted;
3. the slippage on Capital which is to be carried forward into the 2021/22 as set out in Appendix 2 be noted; and
4. the revenue underspend be allocated to create earmarked revenue reserves, with additional adjustments as set out below:

	£000
LGR Implementation Reserve	1,600
Heart of Cumbria Reserve	-1,467
Revenue Slippage (Appendix 3)	500
Corporate Priorities	1,167
	<hr/>
	1,800

13 Any Other Items Which the Chairman Decides are Urgent

14 Date of Next Scheduled Meeting

The next scheduled meeting of this Committee be confirmed as Thursday 10 February 2022 at 6.45 p.m.

Yours faithfully,



I Frost
Interim Chief Executive

*Democratic Services Contact: Email: cttee.admin@eden.gov.uk
or telephone: 01768 212266

Encs

For Attention

All members of the Council

Chairman – Councillor M Eyles (Liberal Democrat Group)

Vice Chairman – Councillor S Lancaster (Independent Group)

Councillors

R Briggs, Conservative Group

A Connell, Liberal Democrat Group

K Greenwood, Independent Alliance Group

J C Lynch, Conservative Group

N McCall, Liberal Democrat Group

W Patterson, Independent Alliance Group

D Wicks, Conservative Group

Standing Deputies

C Atkinson, Conservative Group

P G Baker, Liberal Democrat Group

D Banks, Independent Alliance Group

L Harker, Liberal Democrat Group

A Meadowcroft, Conservative Group

D Ryland, Independent Group

D Smith, Liberal Democrat Group

A Todd, Conservative Group

Please Note: Under the Openness of Local Government Bodies Regulations 2014 this meeting has been advertised as a public meeting (unless stated otherwise) and as such could be filmed or recorded by the media or members of the public